SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).		STATE	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934						
1. Name and Adda Oliver Traci	ress of Reporting F <u>e</u>	'erson [*]	or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol ARROWHEAD PHARMACEUTICALS, INC. [ARWR]	(Check all appli Directo	or 10% O	% Owner ler (specify ow)			
(Last) 177 E. COLOF	(First) RADO BLVD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/02/2024	Delow)					
SUITE 700			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	Joint/Group Filing (Check A				
(Street) PASADENA	СА	91105			iled by One Reporting Pers iled by More than One Rep า				
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication						
		Fable I. Nam De	Check this box to indicate that a transaction was made pursuant satisfy the affirmative defense conditions of Rule 10b5-1(c). See		ended to				
		i abie i - Non-De	erivative Securities Acquired, Disposed of, or Bene	ticially Owne	a				

1. Title of Security (Instr. 3)	curity (Instr. 3) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction if any (Month/Day/Year) 2. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code (Instr. 8) 4. Securities Acquing Disposed Of (D) (Instr. 1. Securities Acquing Disposed Of (D) (I				Securities	Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150.4)
Common Stock	07/02/2024		S ⁽¹⁾		9,394	D ⁽²⁾	\$25.28	127,107(3)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3. Transaction Date 3A. Deemed Execution Date 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. Ownership 11. Nature of Indirect Conversion Expiration Date (Month/Day/Year) Transaction Amount of Derivative derivative Amount of Securities Underlying Derivative Security (Instr. 3 and 4) or Exercise Price of Derivative Security Security (Instr. 3) (Month/Day/Year) Code (Instr. Derivative Security Securities Form: Direct (D) Beneficial if any (Month/Day/Year) 8) (Instr. 5) Beneficially Securities Ownership Acquired (A) or Disposed Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 4) of (D) (Instr. 3, 4 and 5) Amount or Number Date Expiration of Code v (A) (D) Exercisable Date Title Shares

Explanation of Responses:

1. Shares were sold pursuant to a 10b5-1 trading plan adopted by the Reporting Person in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.

2. Partial disposition of RSUs to satisfy tax withholding obligations.

3. Includes previously reported shares of common stock underlying Restricted Stock Units granted to the Reporting person which are subject to certain vesting conditions.

Remarks:

/s/Tracie Oliver

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

07/03/2024