# SEC Form 5

# FORM 5

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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Form 3 Holdings Reported.

Form 4 Transactions Reported 1

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP** 

OMB APPROVAL OMB Number: 3235-0362

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	hours per response:	1.0
xchange Act of 1934 ly Act of 1940		

Lu Hongbo		on <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol <u>ARROWHEAD PHARMACEUTICALS</u> ,	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 177 E. COLOR. SUITE 700	(First) ADO BLVD	(Middle)	INC. [ ARWR ] 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 09/30/2024		Officer (give title below)	Other (specify below)		
(Street) PASADENA (City)	CA (State)	91105 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filing ( Form filed by One Report Form filed by More than ( Person	ting Person		

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities A Of (D) (Instr. 3,		() or Disposed	5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price	Source at end of Issuer's Fiscal Year (Instr. 3 and 4)	Indirect (I) (Instr. 4)	
Common Stock	03/22/2024		P4	400	A	\$28	34,080 <sup>(1)</sup>	D	
Common Stock	03/26/2024		P4	850	A	\$27.5	34,930(1)	D	
Common Stock	04/02/2024		P4	150	Α	\$27.34	35,080(1)	D	
Common Stock	04/10/2024		P4	500	A	\$25	35,580(1)	D	
Common Stock	04/18/2024		P4	500	A	\$22	36,080 <sup>(1)</sup>	D	
Common Stock	09/25/2024		G4	5,650	D	\$0	30,430	D <sup>(2)</sup>	
Common Stock							5,650	<b>I</b> <sup>(2)</sup>	By spouse

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				, ,			• •				,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Derivative Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative de Security Se (Instr. 5) Be Ow Fo Re Tra	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Includes previously reported restricted stock units, which are subject to certain vesting conditions.

2. On September 25, 2024, the Reporting Person transferred 5,650 shares of the Issuer's common stock from a jointly-held retirement account to her spouse's individual retirement account for no consideration

### Remarks:

/s/Hongbo Lu

\*\* Signature of Reporting Person

11/15/2024 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.