FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| STATEMENT | OF CHANGE | S IN BENEFICIAL | OWNERSHIP |
|-----------|-----------|-----------------|-----------|
| | | | |

| l | OMB APPRO | OVAL |
|---|------------------------|-----------|
| | OMB Number: | 3235-0287 |
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| | hours per response: | 0.5 |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>GIVEN DOUGLAS B</u> | | | | AI | 2. Issuer Name and Ticker or Trading Symbol ARROWHEAD PHARMACEUTICALS, INC. [ARWR] | | | | | | | | | | | ip of Reporting Person(s) to Issuer plicable) ctor 10% Owner | | | | |
|---|--|------|---------------|-----------------------------------|--|----------------------|---|----------|---|---|--|---------------|-------|---------------------|---|--|---|--|---|----------|
| (Last) 225 S. LA SUITE 10 | (Fii AKE AVEN | , | Middle) | | 3. 🖸 | ate | | | saction (M | 1onth/ | Day/Year) | | | | | Office below | er (give title w) | | Other (pelow) | (specify |
| (Street) PASADE (City) | ENA CA | |)1101 Zip) | | 4. If | Ame | endmen | , Date o | of Origina | l Filed | (Month/Da | ay/Yea | ır) | | . Indiv ine) X | Form | r Joint/Group n filed by One n filed by Mor on | e Reportin | g Pers | on |
| | | Tabl | e I - Noi | n-Deriv | ative | Se | curiti | es Ac | quired, | Dis | posed o | f, or | Bene | efici | ally | Owne | ed | | | |
| Da | | | | Date | Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5) | | | | 4 and See Be | | ount of ities icially d Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | Code | v | Amount | | (A) or (D) | Price | e | Transa | ansaction(s) estr. 3 and 4) | | | (11341. 4) | |
| Common Stock 0 | | | | | 1/2017 | | | | A | | 80,000 | (1) | A \$0 | | 0.00 | | 50,000 | D | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| Derivative Security Conversion Date Execution Date, or Exercise (Month/Day/Year) if any | | | | ransaction of De Se Ac (A) Dis of | | osed) r. 3, 4 | 6. Date Exercis Expiration Date (Month/Day/Ye | | е | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) | | | | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | Code | code V (A) (| | (D) | Date Exercisa | | Expiration Date | Title | or | ount nber res | | | | | | |

Explanation of Responses:

1. Represents shares underlying a restricted stock unit, which shares will vest in one installment on the first anniversary of the grant date.

Remarks:

/s/Douglass Given

01/04/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.