SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] GIVEN BRUCE D			2. Date of Event Requiring State (Month/Day/Yea 10/26/2011	ment	3. Issuer Name and Ticker or Trading Symbol <u>ARROWHEAD RESEARCH CORP</u> [ARWR]					
(Last)	(First)	(Middle) JE, SUITE 300			4. Relationship of Reporting Person(s) to Issue (Check all applicable) Director 10% Owne		(Mo	f Amendment, Date of Original Filed onth/Day/Year)		
					X Officer (give title below)	title Other (spe below)		Individual or Joint/Group Filing (Check oplicable Line)		
(Street)					Chief Operating Officer		X	X Form filed by One Reporting Person		
PASADENA CA 91101								Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					. Amount of Securities eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	or Indirect (I) (Instr. 5)			
Stock Option of	lated 10/26/20	11	04/26/2012 ⁽¹⁾	10/26/2021	Common Stock	300,000	0.52	D		
Stock Option dated 09/28/2009		02/24/2010 ⁽²⁾	09/28/2019	Common Stock	10,000	0.62	D			

Explanation of Responses:

1. Vests 1/8 on six month cliff and balance equally over 42 months.

2. Vests 1/8 on six month cliff and balance equally over 42 months.

Bruce D. Given

** Signature of Reporting Person

<u>11/04/2011</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.