SEC Form 4
EODM

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Sheck this box in no longer subject to	· · ·
Section 16. Form 4 or Form 5	
obligations may continue. See	
nstruction 1(b).	

ot to

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.
defense conditions of Rule 10b5-

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr	ess of Reporting P	Person [*]	2. Issuer Name and Ticker or Trading Symbol ARROWHEAD PHARMACEUTICALS,		tionship of Reporting Pe all applicable)	erson(s) to Issuer
<u>Lu Hongbo</u>			INC. [ARWR]	1	Director	10% Owner
(Last) 177 E. COLOR	(First) ADO BLVD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/18/2024		Officer (give title below)	Other (specify below)
SUITE 700			4. If Amendment, Date of Original Filed (Month/Day/Year)	6 Indiv	idual or Joint/Group Filir	ag (Chack Applicable
(Street)			. In Americanient, Date of Original Filed (Month/Day/Tear)	Line)		
PASADENA	СА	91105		1	Form filed by One Rep	porting Person
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			Form filed by More that Person	an One Reporting
(City)	(State)	(Zip)				
	-	Table I - Non-Deriva	tive Securities Acquired. Disposed of, or Benef	icially	Owned	

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)			Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following		7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/18/2024		A		16,733(1)	A	\$22.99	47,163	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cais, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispe of (D	r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	Amou Secu Unde Deriv	rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents shares underlying restricted stock units, which shares will vest in one installment on the first anniversary date.

Remarks:

/s/Hongbo Lu

12/20/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.