## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>GIVEN DOUGLAS B</u>  |                  |  |  |       |   | 2. Issuer Name and Ticker or Trading Symbol ARROWHEAD RESEARCH CORP ARWR |     |         |                                    |        |  |  |                           |       | ck all app                                   | olicable)<br>ctor  |  | Owner  |  |
|--|------------------|--|--|-------|---|--|-----|---------|------------------------------------|--------|--|--|---------------------------|-------|--|--|--|--|--|
| (Last) (First) (Middle) 225 SOUTH LAKE AVENUE, SUITE 1050  |                  |  |  |       |   | 3. Date of Earliest Transaction (Month/Day/Year) 03/04/2015              |     |         |                                    |        |  |  |                           |       | Offic<br>belo                                | er (give title<br>w)   | Othe<br>belo   | r (specify<br>w)   |  |
| (Street) PASADE (City)   | ASADENA CA 91101 |  |  |       | 4. If                                   | 4. If Amendment, Date of Original Filed (Month/Day/Year)                 |     |         |                                    |        |  |  |                           | Line  | Forn   | idual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |                  |  |  |       |   |  |     |         |                                    |        |  |  |                           |       |  |  |  |  |  |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Da   |                  |  |  |       | Execution Da                            |  |     | n Date, | Code (Instr.                       |        | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, 4<br>5) |  |                           |       | Secur<br>Benef                               | icially<br>d Following   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)        | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |  |
|  |                  |  |  |       |   |  |     |         | Code                               | v      | Amount   | (A<br>(D   | ) or<br>)                 | Price | Transa                                       | action(s)<br>3 and 4)  |  | (111501.4)   |  |
| Common Stock 03/04/2   |                  |  |  |       | /2015                                   | 2015   |     | A       |                                    | 35,000 | (1) A :  |  | \$ <mark>0</mark>         | 5     | 55,000                                       |  |  |  |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |                  |  |  |       |   |  |     |         |                                    |        |  |  |                           |       |  |  |  |  |  |
| 1. Title of Derivative Security (Instr. 3)  2. Conversic or Exercis Price of Derivative Security   |                  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deem<br>Execution<br>if any<br>(Month/Da | Date, | 4.<br>Transaction<br>Code (Instr.<br>B) |  |     |         | 6. Date E<br>Expiratio<br>(Month/D | n Dat  |  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instrand 4) |                           | r. 3  | Price of<br>erivative<br>ecurity<br>estr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s<br>(Instr. 4)        | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |                  |  |  |       | Code                                    | v  | (A) | (D)     | Date<br>Exercisa                   |        | Expiration<br>Date   | Title  | or<br>Numl<br>of<br>Share | oer   |  |  |  |  |  |

## Explanation of Responses:

1. Represents shares underlying a restricted stock unit, which shares will vest on the one year anniversary of the date of grant.

**Douglass Given** 

03/06/2015

\*\* Signature of Reporting Person Date

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly.$ 

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.