## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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OMB APPROVAL						
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OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

(Instr. 4)

11. Nature

of Indirect

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading Symbol ARROWHEAD PHARMACEUTICALS, <u>Ferrari Mauro</u> Director 10% Owner INC. [ ARWR ] Officer (give title Other (specify below) below) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 225. S LAKE AVE 06/26/2019 **SUITE 1050** 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) X Form filed by One Reporting Person 91101 **PASADENA** CA Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2A. Deemed 5. Amount of 6. Ownership 7. Nature Date **Execution Date**, Transaction Securities Form: Direct of Indirect (Month/Day/Year) if any Code (Instr. Beneficially (D) or Indirect Beneficial Owned Following (I) (Instr. 4) Ownership (Month/Day/Year) 8)

			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)				
	06/26/2019		S		17,756	D	\$27.61 <sup>(1)</sup>	1,900	D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned												

7. Title and

Amount of

# (e.g., puts, calls, warrants, options, convertible securities)

5. Number

nsaction

6. Date Exercisable and

**Expiration Date** 

(Instr. 3)	(Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		(Month/Day/Year)		Securities Underlying Derivative Security (Instr. 3 and 4)		(Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

# **Explanation of Responses:**

Conversion

Common Stock

1. Title of

1. The price reported on Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$27.58 to \$27.79, inclusive. The reporting person undertakes to provide to Arrowhead Pharmaceuticals, Inc., any security holder of Arrowhead Pharmaceuticals, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote of this Form 4.

# Remarks:

/s/ Mauro Ferrari 06/28/2019

Reported

9. Number of

10.

Ownership

8. Price of

Derivative

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3A. Deemed

3. Transaction

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.