SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Ferrari Mauro			2. Date of Event Requiring Stater (Month/Day/Yea 08/18/2010	ARROWHEAD RESEARCH CORP [ARWR]							
(Last) (First) (Middle) ARROWHEAD RESEARCH CORPORATION 201 SOUTH LAKE AVENUE, SUITE 703		I				ionship of Reporting Pers all applicable) Director Officer (give title below)	on(s) to Issuer 10% Owne Other (spec below)	r cify 6. I App	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person 		
(Street) PASADENA	CA	91101							Form filed by Reporting Po	y More than One erson	
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership Instr. 5)		
Common Stock						19,510	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security			4. Conversion or Exercise Price of	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiratior Date	n Title		Amount or Number of Shares	Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Stock Option g	ranted 01/18/2	008	07/18/2008 ⁽¹⁾	01/18/2018	3	Common Stock	54,688	2.87	D		
Stock Option granted 09/01/2010		09/01/2010	09/01/2020		Common Stock	200,000	0.96	D			

Explanation of Responses:

1. Vested 9,396 shares on 07/18/2008, then vested 1,562 shares monthly until 08/18/2010 when Dr. Ferrari joined the Arrowhead Board of Directors.

<u>Mauro Ferrari</u>

** Signature of Reporting Person

<u>11/19/2010</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.