FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| OMB APPROVAL             |               |  |  |  |  |  |
|--------------------------|---------------|--|--|--|--|--|
| OMB Number:              | 3235-<br>0104 |  |  |  |  |  |
| Estimated average burden |               |  |  |  |  |  |
| hours per response:      | 0.5           |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Lu Hongbo</u>  | Event<br>Statement<br>//Year)<br>24          | 3. Issuer Name and Ticker or Trading Symbol ARROWHEAD PHARMACEUTICALS, INC. [ ARWR ] |   |                            |                  |  |  |   |  |
|--|--|--|---|----------------------------|------------------|--|--|---|--|
| (Last) (First) (Middle) 177 E. COLORADO BLVD SUITE 700  (Street) PASADENA CA 91105  (City) (State) (Zip)           |  |  | 4. Relationship of Reporting Issuer (Check all applicable)  X Director Officer (give title below) | 10% C                      | wner<br>(specify | File<br>6. Ir  | ndividual or Jo eck Applicable Form filed I Person | int/Group Filing<br>e Line)<br>by One Reporting<br>by More than One |  |
| Table I - Non-Derivative Securities Beneficially Owned   |  |  |   |                            |                  |  |  |   |  |
| 1. Title of Security (Instr. 4)  |  |  | 2. Amount of Securities<br>Beneficially Owned (Instr.<br>4)                                       |                            |                  | 4. Nature of Indirect Beneficial<br>Ownership (Instr. 5) |  |   |  |
| Common Stock   |  |  | 30,680(1)   | I                          | D                |  |  |   |  |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |  |   |                            |                  |  |  |   |  |
| 1. Title of Derivative Security (Instr. 4)   | 2. Date Exerc<br>Expiration D<br>(Month/Day/ | ate  | 3. Title and Amount of Secur<br>Underlying Derivative Secur<br>(Instr. 4)                         |                            | curity Convers   |  | 5.<br>Ownership<br>Form:                           | Ownership (Instr.   |  |
|  | Date<br>Exercisable                          | Expiration<br>Date   | Title   | Amount or Number of Shares |                  | ve   | Direct (D)<br>or Indirect<br>(I) (Instr. 5)        | 5)  |  |

## **Explanation of Responses:**

1. Includes a total of 24,080 restricted stock units, which will vest over three years.

## Remarks:

/s/Hongbo Lu

03/18/2024

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.