FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL |          |  |  |  |  |  |  |  |  |
|--------------|----------|--|--|--|--|--|--|--|--|
| OMB Number:  | 3235-028 |  |  |  |  |  |  |  |  |

37 Estimated average burden hours per response: 0.5

# Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Ferrari Mauro                          |   |    |                 |          | AF                                    | 2. Issuer Name and Ticker or Trading Symbol ARROWHEAD PHARMACEUTICALS, INC. [ ARWR ] |                                 |   |   |        |   |   |       |          |  | all app<br>Dired   |  |   | wner   |          |
|--|---|----|-----------------|----------|---------------------------------------|--|---------------------------------|---|---|--------|---|---|-------|----------|--|--|--|---|--|----------|
| (Last) (First) (Middle) 225. S LAKE AVE SUITE 1050                               |   |    |                 |          |                                       | 3. Date of Earliest Transaction (Month/Day/Year) 12/18/2018                          |                                 |   |   |        |   |   |       |          |  | belov  | er (give title<br>w)                         |   | Other below)   | (specify |
| (Street) PASADEI   | NA CA   |    | 91101<br>Zip)   |          | 4. If                                 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                             |                                 |   |   |        |   |   |       |          | . Indivine)                                    | ividual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person |  |   |  |          |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |    |                 |          |                                       |  |                                 |   |   |        |   |   |       |          |  |  |  |   |  |          |
| 1. Title of Security (Instr. 3)  2. Transi Date (Month/L                         |   |    |                 |          |                                       | Exe  |                                 | A. Deemed<br>Execution Date,<br>f any<br>Month/Day/Year)                        |   |        |   | ities Acquired (A)<br>d Of (D) (Instr. 3, 4 |       |          | 4 and Se<br>Be<br>Ov                           |  | ount of<br>ties<br>cially<br>I Following     | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |          |
|  |   |    |                 |          |                                       |  |                                 |   | v | Amount |   | (A) or<br>(D)                               | Price | •        | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |  |  |   | (1130.4)   |          |
| Common Stock 12  |   |    |                 |          | 2/18/2018                             |  |                                 |   | A |        | 3,459   | )   | A     | \$0.00   |  | 16,227(1)  |  | D   |  |          |
|  |   | Та | ble II - [<br>) |          |                                       |  |                                 |   |   |        | sed of,<br>onvertib   |   |       |          | y Oı   | wned   |  |   |  |          |
| Derivative<br>Security<br>(Instr. 3)   | rivative Conversion Date Execution Date, curity or Exercise (Month/Day/Year) if any |    |                 | Code (8) | ransaction of Code (Instr. Derivative |  | ative<br>rities<br>ired<br>osed | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Date |   |        | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)  Amoun or Numbe of Title Shares |   |       | nt<br>er |  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4)            | Owner<br>Form<br>Direct<br>or Ind<br>(I) (In | :<br>t (D)<br>lirect  | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |

## **Explanation of Responses:**

1. This balance reflects the cancellation of 50,000 restricted stock units that were previously granted and reported on January 1, 2017. Dr. Ferrari's employer limits the amount of compensation he can take, and as such, these restricted stock units were subsequently cancelled.

# Remarks:

12/19/2018 /s/ Mauro Ferrari

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.