FORM 4

obligations may continue. See

Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT	OF CHANGES	IN BENEFICIAL	OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Anzalone Christopher Richard</u>						2. Issuer Name and Ticker or Trading Symbol ARROWHEAD PHARMACEUTICALS,									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
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(Last)	(Fi	rst) (	Middle)					,							X	Office belov	er (give title v)		Other ( below)	(specify
` ′	AKE AVEN	UE .	,			ate o 16/2		t Trans	action (M	lonth/	Day/Year)					(	Chief Exec	utive	Officer	
SUITE 1	050					10/2	010													
(Ctroot)					4. If	Ame	ndment,	Date o	f Original	l Filed	I (Month/Da	ay/Ye	ear)		. Indiv ine)	idual o	r Joint/Group	o Filing	(Check A	pplicable
(Street) PASADE	NA CA	Λ 9	1101												X	Form	n filed by One	e Repo	orting Pers	on
																Form Pers	n filed by Moi on	re than	one Rep	orting
(City)	(St	ate) (	Zip)																	
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date				2. Transa Date (Month/D	Exec Day/Year) if any		execution any	A. Deemed Recution Date, any Ionth/Day/Year)				. Securities Acquired (A) isposed Of (D) (Instr. 3, 4 )			1 and Sec Ben Owi		curities neficially ned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	•	Reported Transaction(s) (Instr. 3 and 4)				(111501.4)
Common	Common Stock 03/			03/16	5/2018				S <sup>(1)</sup>		26,000	0	D	\$7.4(2)		1,968,789 <sup>(3)</sup>			D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)  2. Conversio or Exercis Price of Derivative Security			3A. Deemed Execution Da if any (Month/Day/Y	Date,	Code (Inst		on of		6. Date Exercisable and Expiration Date (Month/Day/Year)		е	7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)		str. 3		vative irity r. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction( (Instr. 4)	Or Fo Di Or (I)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)		Date Exercisa		Expiration Date	Amou or Numl of Title Share		nber	r					

## **Explanation of Responses:**

- 1. Open market sale pursuant to a 10b5-1 trading plan adopted by the Reporting Person in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended. The sale was made to generate proceeds to cover the tax liability incident to the vesting of restricted stock units.
- 2. The price reported on Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$7.40 to \$7.41, inclusive. The reporting person undertakes to provide to Arrowhead Pharmaceuticals, Inc., any security holder of Arrowhead Pharmaceuticals, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote of this Form 4.
- 3. Includes a total of 1,186,000 shares underlying restricted stock units that may be earned based on the achievement of certain performance goals. If the performance goals are not met within the required time periods, the awards will be forfeited in part or in whole.

## Remarks:

/s/ Chris Anzalone

03/16/2018

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.